PTO/SB/21 (08-03) Approved for use through 08/30/2003, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMEN the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB contri Application Number 09/940,628 TRANSMITTAL Filing Date August 29, 2001 **FORM** First Named Inventor Hideaki KOMAKI Art Unit 1754 (to be used for all correspondence after initial filing) Examiner Name M. MEDINA SANABRIA Attorney Docket Number 5+REFS ASAIN0100 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form to Technology Center (TC) Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): PTO/SB/08 A&B Request for Refund Express Abandonment Request References (7) CD, Number of CD(s) European Search Report (1) Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

## Joerg-Uwe Szipl Registration No. 31,799 Individual name **GRIFFIN & SZIPL, P.C.** Signature Date November 13, 2003 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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Date



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of	) Attorney Docket No.: ASAIN0100
	) Confirmation No. 5595
Hideaki KOMAKI et al.	)
	) Group Art Unit: 1754
Serial No.: 09/940,628	)
	) Examiner: M. MEDINA SANABRIA
Filed: August 29, 2001	)
	)
For: METHOD AND APPARATUS FOR	) Date: November 13,2003
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## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

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In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, this Information Disclosure Statement in connection with the above-identified application is being filed in accordance with 37 C.F.R. §1.97(c).

The documents identified on Forms PTO/SB/08A and PTO/SB/08B were cited in a corresponding European application. Since all of the documents cited herein were cited in said Search Report, it is believed that the relevance of each document cited is clear from the Search Report, and accordingly, no further comment with regard to the disclosure of these documents is believed to be required.

The Search Report was completed on August 13, 2003, and mailed on August 27, 2003, less than three months prior to the filing of this Information Disclosure Statement.

Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

It is respectfully requested that the attached documents be considered and officially cited, and that a copy of Forms PTO/SB/08A and PTO/SB/08B be initialed by the Examiner to indicate that the documents have been considered and returned to the undersigned.

It is believed that the present Information Disclosure Statement complies with the requirements of 37 C.F.R. § 1.97-8, but if fees are required by the filing of this paper, the Director is hereby authorized to charge the necessary fees to Deposit Account No. 50-1281.

Respectfully submitted,

GRIFFIN & SZIPL, PC

Joerg-Uwe Szipi Registration No. 31

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Telephone: (703) 979-5700 Facsimile: (703) 979-7429 Email: g&s@szipl.com Customer No.: 24203 NOV 1 3 2003

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First Named Inventor	Hideaki KOMAKI		2 %
Art Unit	1754		1, 2, 1
Examiner Name	M. MEDINA SANABRIA		78
Attorney Docket Number	ASAIN0100		'S

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (fl known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	Ē	US- 5,753,194	05-19-1998	Heil et al.	
	F	<sup>US-</sup> 6,299,853 B1	10-09-2001	Boneberg et al.	
. **	G	<sup>US-</sup> 5,048,284	09-17-1991	Lywood et al.	
	Н	<sup>US-</sup> 4,311,671	01-19-1982	Notman	
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Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Where Relevant Passages	Ι.
	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T'
ı	EP 0 112 613 A3	07-04-1984	Engelhard Corp.		
J	DE 44 20 753 A1	09-21-1995	Daimler-Benz Ak		
K	EP 0 995 716 A1	09-16-1999	DBB Fuel Cell		L
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	No. <sup>1</sup>	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (# known)  I EP 0 112 613 A3  J DE 44 20 753 A1	Cite No.¹         Foreign Patent Document         Publication Date MM-DD-YYYY           Country Code³ Number⁴ Kind Code⁵ (if known)         07-04-1984           J         DE 44 20 753 A1         09-21-1995	Cite No.¹         Foreign Patent Document         Publication Date MM-DD-YYYY         Name of Patentee or Applicant of Cited Document of Cited	Cite No. 1 Publication Date MM-DD-YYYY Name of Patentee or Applicant of Cited Document Country Code 3 Number 4 Kind Code 5 (if known)  I EP 0 112 613 A3 07-04-1984 Engelhard Corp.  J DE 44 20 753 A1 09-21-1995 Daimler-Benz Ak

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Examiner Signature	*	Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁴Applicant is to place a check mark here if English language

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	e for form 1449/PTO			- 00	Complete if Known	
Substitut	e for form 1449/P10		!	Application Number	09/940,628	OIPA
INFO	<b>DRMATION</b>	DIS	CLOSURE	Filing Date	August 29, 2001	
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			,	Art Unit	1754	2003
(Use as many sheets as necessary)		Examiner Name	M. MEDINA SANABRIA	(S)		
Shoot	2		2	Attorney Docket Number	ASAIN0100	Company

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
-	L	European Search Report for corresponding European application	

Examiner	Date	
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

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